| TRANSMITTAL LETTER Fial No. Filling Date Examiner | | | Case No. 3410/11 | |
|---|-------------------------------------|----------------------------------|------------------|--|
| crial No. | Filing Date | Examiner | Group Art Unit | |
| 09/954,447 | September 14, 2001 | Eugene H. Eickholt | 2854 | |
| Inventor(s) | | | | |
| Robert K. Ellis, II | | | | |
| Title of Invention | | | | |
| Methods and Materials | for Producing an Image, and Article | es Comprising Materials for Pro- | ducing an Image | |

| | | | TO THE COI | MMISSIONER FO | R | PATENTS | | | | |
|-------------|---|------------------------------|--|--|------|------------------------------|-------------------------------|---------------|--------------------|--------------|
| Term Ad | Transmitted he justment Under | rewith is Tra 37 CFR § 1. | ansmittal Letter (ir 705(b) (in duplica | n duplicate), Issu te), Checks for \$ | e F | ee Transmitt 5 and \$200, | tal (in duplica and Return | ate), Post | Application fo | or Patent |
| \boxtimes | Small entity sta | atus of this | application under | 37 CFR § 1.27 | has | s been estab | lished by ve | rified | l statement p | reviously |
| | Applicant claim | ıs small enti | ty status. See 37 | CFR1.27. | | | | | | |
| | Petition for a _ | month | extension of time | . | | | | | | |
| \boxtimes | No additional fe | ee is required | d. | | | | | | | |
| | The fee has bee | en calculate | d as shown below | : | | | | | | |
| | | | | | _ | Small | Entity | • | Other Small | |
| | Claims Remaining After Amendment | , i | Highest No. Previously Paid For | Present Extra | | Rate | Add'I Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | | x \$9= | | | x \$18= | |
| Indep. | | Minus | | | L | x 43= | | | x \$86= | İ |
| First Pres | sentation of Mult | tiple Dep. Cl | aim | | | + \$145 = | | | + \$290= | |
| | | | | | | Total add'l fee | \$ | | Total add'I fee | \$ |
| | Please charge duplicate copy | | count No. 23-192 t is enclosed. | 5 (BRINKS HOF | ER | GILSON & I | LIONE) in th | e an | nount of \$ | A |
| \boxtimes | Checks in the a | mounts of \$ | 995 and \$200 to | cover the filing f | fees | s are enclose | d. | | | |
| | 1.16 and any p | atent applica | by authorized to co ation processing for t Account No. 23- | ees under 37 CFI | R § | 1.17 associ | ated with th | is co | mmunication | |
| \boxtimes | | narge any as | CFR § 1.136(a) 1 sociated fees while is enclosed. | | | | | | | |
| | | | | Respectfully s | sub | mitted, | | | | |
| | | | | Gregory 1. Z. Registration N Agent for App Customer No. | olic | ant | Hofor Cilca | | | |
| BRINKS I | HOFER GILSON 8 | & LIONE | | Customer No. | | 7797 - DIIIIKS | HUIER GIISO | ii LiC | JIIG | |
| | | | | | | | | | | |

CHICAGO, ILLINOIS 60610 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 15, 2004.

Signature: 7

APR 19 2000 certify that this correspondence is being posited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 on April 15, 2004

Date of Deposit

Gregory H. Zayia - Reg. No. 48,059

Name of applicant, assignee or Registered Representative

Date of Signature

Our Case No. 3410/11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| n re Application of: |) |
|---|---------------------------------|
| Robert K. Ellis, II |)) |
| Serial No. 09/954,447 |) Examiner Eugene H. Eickholt) |
| Filing Date: September 14, 2001 |) Group Art Unit No. 2854 |
| For: Methods and Materials for Producing an Image, and Articles Comprising Materials for Producing an Image |))) |

APPLICATION FOR PATENT TERM ADJUSTMENT UNDER 37 CFR § 1.705(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

A Notice of Allowance for the above-identified patent application was mailed on January 20, 2004, and contains a determination of a patent term adjustment (hereafter, "PTA") under 35 U.S.C. § 154(b) of seventeen (17) days, as of the determination date (Exhibit A).

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Applicants believe that the PTA indicated in the Notice of Allowance is incorrect, and that the correct PTA is forty-four (44) days for reasons explained below.

REMARKS

The fee for filing an application for PTA set forth in 37 CFR § 1.18(e) is enclosed. Please charge any additional fee required or credit for any excess fee paid to Deposit Account No. 23-1925. A duplicate copy of this Application is attached.

In support of this application, and in accordance with 37 CFR § 1.705(b)(2), the undersigned agent attests to the following statement of facts. The dates identified below were determined based on the mailing date stamped on communications sent by the Office and by the date of receipt stamped on the post card receipts returned by the Office.

- (i) A Determination of Patent Term Adjustment under 35 U.S.C. 154(b) was mailed on January 20, 2004, which indicates a PTA of seventeen (17) days (Exhibit A) as of the determination date. However, Applicant believes that this PTA is incorrect, and that the correct PTA to date is forty-four (44) days. The basis for this adjustment is under 37 CFR 1.702(a)(1).
- (ii) The period of adjustment under 37 CFR §1.703(a) is the number of days in the period beginning on the day after that date that is fourteen months after the date on which the application was filed under 35 U.S.C. § 111(a) and ending on the date of mailing of an action under 35 U.S.C. § 132. The present application was filed on September 14, 2001. The date that is fourteen months after this filing date (hereafter, "the 14 month date") is November 14, 2002. The first Office Action under 35 U.S.C. § 132, a restriction requirement, was mailed on April 14, 2003. Thus, the difference between the 14 month date and the date of mailing of the first Office Action is 151 days, which accounts for all of the delay for which the Office is responsible to date.
- (iii) The patent that will issue from the above-identified patent application is not subject to a terminal disclaimer.
- (iv)(A) With respect to the grounds for adjustment set forth in 37 CFR § 1.704, an applicant is deemed to have failed to engage in reasonable efforts to conclude processing or examination of an application for the cumulative total of any periods of time in excess of three months that are taken to reply to any notice or action by the

Office. Any such three-month period is measured from the date the notice or action was mailed to the applicant. The period of adjustment set forth in 37 C.F.R. § 1.703 shall be reduced by the number of days beginning on the day (hereafter, "the 3 month date") after the date that is three months after the date of mailing of the Office communication.

In the present application, a Notice to File Missing Parts was mailed on October 15, 2001. The 3-month date to respond to this communication was January 15, 2002. A Response to this communication was mailed, with a certificate of mailing, on February 13, 2002. The date-stamped return post card mailed back to Applicant indicates receipt by the Office on February 27, 2002 (Exhibit B). Accordingly, the first reduction in the period of adjustment of patent term under 37 CFR §1.704(b) is 43 days.

In addition, a non-final rejection against the present application was mailed on July 1, 2003. The 3-month date to respond to this Office Action was October 1, 2003. A Response to this non-final Office Action was mailed, with a certificate of mailing on December 1, 2003. The date-stamped return post card mailed back to Applicant indicates receipt by the Office on December 4, 2003 (Exhibit C). Accordingly, the second reduction in the period of adjustment of patent term under 37 CFR § 1704(b) is 64 days.

Thus, in accordance with 37 CFR § 1.704, the total period of time by which the period of adjustment of patent term should be reduced is 107 days (43 + 64).

Total patent term adjustment

In accordance with 37 CFR § 1.703(f), the total PTA to date for the above-identified patent application is the sum of the periods calculated under paragraphs (a) through (e) of 37 CFR § 1.703, currently 151 days, minus the sum of the periods calculated under 37 CFR § 1.704, which is 107 days. Thus, Applicant respectfully submits that the total PTA to date for the above-identified patent application is forty-four (44) days.

In view of the Remarks above, Applicant respectfully requests reconsideration of the PTA for the above-identified patent application. Office personnel reviewing this application are invited to contact the undersigned agent directly at (312)-321-4257 if a discussion may help expedite reconsideration of the PTA.

Because the error in computation of the PTA for the above-identified patent application appears to stem from the USPTO, Applicant respectfully requests that the petition fee be waived.

Respectfully submitted,

Gregory H. Zayia

Registration No. 48,059 Agent for Applicant

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vriginia 22313-1450

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|-------------------------------------|----------------|---|-------------------------|-----------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
| 09/954,447 | 09/14/2001 | Robert K. Ellis II | 3410/11 | 6569 |
| 75 | 90 01/20/2004 | \0\'\c\ | EXAM | NER |
| | GILSON & LIONE | (, , , , , , , , , , , , , , , , , , , | EICKHOLT, | EUGENE H |
| P.O. Box 10395 Chicago, IL 60610 | | APR 1 9 2004 | ART UNIT | PAPER NUMBER |
| 2, | | TRADEMINE TRADEMINE | 2854 | |
| | | TRADEAR | DATE MAILED: 01/20/2004 | 1 |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 17 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 17 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



Applicant Robert K. Ellis I Case No. 3410 11

Director of The United States Patent & Trademark Office & Washington, D.C. 20231

Please acknowledge receipt of the below-identified

duplicate), Declaration, Power of Attorney, Checks for \$729 and \$200 File Missing Parts of Nonprovisional Application Filing Date Granted (in duplicate), Petition and Fee for Two (2) Month Extension of Time (in Nonprovisional Application Filing Date Granted, Response to Notice to Transmittal Letter (in duplicate), Notice to File Missing Wife Transmittal Letter (in duplicate), Notice to File Missing Wife Transmittal Letter (in duplication Filing Data Communication Filing Data C

BRINKS HOFER GILSON & LIONE

and Return Postcard.

By: Gregory H. Zayia - Reg. No. 48,059

February 13, 2002

Date of Mailing:



Serial No. 09/954,447
Applicant: ROBERT K. ELLIS, II
Client/Matter No.: 3410-11

(46.3) (046.3)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Please acknowledge receipt of the below identified:

tems Mailed: Transmittal Letter (in duplicate), Response, Petition and Fector Two (2) Month Extension of Time (in duplicate), Checks for \$210 and \$86, and Return Postcard.

BRINKS HOFER GILSON & LIONE By: Gregory H. Zayia, Reg. No. 48,059 Date of Mailing: December 1, 2003